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LETTER TO THE EDITOR

Nonholonomic mechanics and connections over a bundle map

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Abstract

A general notion of connections over a vector bundle map is considered, and applied to the study of mechanical systems with linear nonholonomic constraints and a Lagrangian of kinetic energy type. In particular, it is shown that the description of the dynamics of such a system in terms of the geodesics of an appropriate connection can be easily recovered within the framework of connections over a vector bundle map. Also the reduction theory of these systems in the presence of symmetry is discussed from this perspective.

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1. Introduction

Inspired by some recent work by Fernandes on connections in Poisson geometry [5] and, more generally, in the context of Lie algebroids [6], we have recently embarked on the study of a general notion of connection, namely connections over a vector bundle map. This new concept covers, besides the standard notions of linear and nonlinear connections, various generalizations such as partial connections and pseudo-connections, as well as the Lie algebroid connections considered by Fernandes. For a detailed treatment we refer to a forthcoming paper, written in collaboration with Cantrijn [2]. After briefly sketching the main idea underlying the notion of connection over a vector bundle map, the purpose of the present letter is to present an application of this theory in the framework of nonholonomic mechanics.

Let M be a real (finite dimensional) C^∞ manifold and $\nu : N \rightarrow M$ a vector bundle over M . Assume, in addition, that a linear bundle map $\rho : N \rightarrow TM$ is given such that $\tau_M \circ \rho = \nu$, where τ_M denotes the natural tangent bundle projection $TM \rightarrow M$. Note that we do not require ρ to be of constant rank. Hence, the image set $\text{Im } \rho$ need not be a vector subbundle of TM but rather determines a generalized distribution as defined by Stefan and Sussmann

(see, for example, [10, appendix 3]). Denoting the set of (local) sections of an arbitrary bundle E over M by $\Gamma(E)$, it follows that ρ induces a mapping $\Gamma(N) \rightarrow \Gamma(TM) = \mathcal{X}(M)$, which we will also denote by ρ . Next, let $\pi : E \rightarrow M$ be an arbitrary fibre bundle over M . We may then consider the pull-back bundle $\tilde{\pi}_1 : \pi^*N \rightarrow E$ which is a vector bundle. Note that π^*N may be regarded as a fibre bundle over N , with the projection denoted by $\tilde{\pi}_2 : \pi^*N \rightarrow N$. A connection on E over ρ or, shortly, a ρ -connection on E , is then defined as a linear bundle map $h : \pi^*N \rightarrow TE$ from $\tilde{\pi}_1$ to τ_E , over the identity on E , such that, in addition, the following diagram is commutative

$$\begin{array}{ccc} \pi^*N & \xrightarrow{h} & TE \\ \tilde{\pi}_2 \downarrow & & \downarrow T\pi \\ N & \xrightarrow{\rho} & TM \end{array}$$

(where $T\pi$ denotes the tangent map of π). The image set $\text{Im } h$ determines a generalized distribution on E which projects onto $\text{Im } \rho$. It is important to note that $\text{Im } h$ may have a nonzero intersection with the bundle VE of π -vertical tangent vectors to E . The standard notion of connection is recovered when putting $N = TM$, $v = \tau_M$ and ρ the identity map. In case P is a principal G -bundle over M , with right action $R : P \times G \rightarrow P$, $(e, g) \mapsto R(e, g) = R_g(e) (= eg)$, a ρ -connection h on P will be called a *principal ρ -connection* if, in addition, it satisfies

$$TR_g(h(e, n)) = h(eg, n)$$

for all $g \in G$ and $(e, n) \in \pi^*N$. Slightly modifying the construction described by Kobayashi and Nomizu [7], given a principal ρ -connection on P , one can construct a ρ -connection on any associated fibre bundle E .

Assume E is a vector bundle and let $\{\phi_t\}$ denote the flow of the canonical dilation vector field on E . A ρ -connection h is then called a *linear ρ -connection* on E if

$$T\phi_t(h(e, n)) = h(\phi_t(e), n)$$

for all $(e, n) \in \pi^*N$. In [2] it is shown that such a linear ρ -connection can be characterized by a mapping $\nabla : \Gamma(N) \times \Gamma(E) \rightarrow \Gamma(E)$, $(s, \sigma) \mapsto \nabla_s \sigma$ such that the following properties hold:

- (i) ∇ is \mathbb{R} -linear in both arguments;
- (ii) ∇ is $C^\infty(M)$ -linear in s ;
- (iii) for any $f \in C^\infty(M)$ and for all $s \in \Gamma(N)$ and $\sigma \in \Gamma(E)$ one has $\nabla_s(f\sigma) = f\nabla_s\sigma + (\rho \circ s)(f)\sigma$.

It immediately follows that $\nabla_s\sigma(m)$ only depends on the value of s at m , and therefore we may also write it as $\nabla_{s(m)}\sigma$. Clearly, ∇ plays the role of the covariant derivative operator in the case of an ordinary linear connection. Henceforth, we will also refer to ∇ as a linear ρ -connection. Let k and ℓ denote the fibre dimensions of N and E , respectively, and let $\{s^\alpha : \alpha = 1, \dots, k\}$, resp. $\{\sigma^A : A = 1, \dots, \ell\}$, be a local basis of sections of v , resp. π , defined on a common open neighbourhood $U \subset M$. Then we have $\nabla_{s^\alpha}\sigma^A = \Gamma_B^{A\alpha}\sigma^B$, for some functions $\Gamma_B^{A\alpha} \in C^\infty(U)$, called the connection coefficients of the given ρ -connection.

In order to associate a notion of parallel transport with linear ρ -connections, we first need to introduce a special class of curves in N . A smooth curve $\tilde{c} : I \rightarrow N$, defined on a closed interval $I \subset \mathbb{R}$, is called *admissible* if for all $t \in I$, one has $\dot{c}(t) = (\rho \circ \tilde{c})(t)$, where $c = v \circ \tilde{c}$

is the projected curve on M . Curves in M that are projections of admissible curves in N are called *base curves*. Note that, in principle, a base curve may reduce to a point.

As in standard connection theory, with any linear ρ -connection ∇ on a vector bundle $\pi : E \rightarrow M$, and any admissible curve $\tilde{c} : [a, b] \rightarrow N$, one can associate an operator $\nabla_{\tilde{c}}$, acting on sections of π defined along the base curve $c = \nu \circ \tilde{c}$. More precisely, let σ be such a section, i.e. $\sigma : [a, b] \rightarrow E$ with $\pi \circ \sigma = c$, then we may put $(\nabla_{\tilde{c}}\sigma)(t) = \nabla_{\tilde{c}(t)}\sigma$ for all $t \in [a, b]$. A section σ , defined along the base curve of an admissible curve \tilde{c} , will be called parallel along \tilde{c} if $\nabla_{\tilde{c}(t)}\sigma = 0$ for all t . In coordinates, this yields a system of linear differential equations for the components of σ and, again using standard arguments, one can show that this leads to a notion of parallel transport on E along admissible curves in N (cf [2] for more details).

As an application of the above formalism, we will consider a mechanical system consisting of a free particle subjected to some linear nonholonomic constraints.

2. Nonholonomic mechanics

Let g be a Riemannian metric on an n -dimensional manifold M . Consider a free particle, with configuration space M and Lagrangian $L : TM \rightarrow \mathbb{R}$, $v \mapsto L(v) = 1/2g(v, v)$. It is well known that the equation of motion can be written as the geodesic equation $\nabla_{\dot{c}}^g \dot{c}(t) = 0$, where ∇^g is the Levi-Civita connection corresponding to g . Suppose now that the system is subjected to $n - k$ (independent) linear nonholonomic constraints, defining a regular non-integrable k -dimensional distribution Q on M . We then have a direct sum decomposition $TM = Q \oplus Q^\perp$, where Q^\perp is the orthogonal complement of Q with respect to the given metric g . The projections of TM onto Q and Q^\perp will be denoted by π_Q and π_{Q^\perp} , respectively. It is well known that the solution curves of the nonholonomic free particle are curves c in M satisfying the equation $\pi_Q(\nabla_{\dot{c}}^g \dot{c}(t)) = 0$, together with the constraint condition $\dot{c}(t) \in Q$ for all t (see, for instance, [9]). Furthermore, one can define a linear connection $\bar{\nabla}$ on M according to $\bar{\nabla}_X Y = \nabla_X^g Y + (\nabla_X^g \pi_{Q^\perp})(Y)$ for $X, Y \in \mathcal{X}(M)$. This connection restricts to Q and the equation of motion of the nonholonomic free particle can be rewritten as $\bar{\nabla}_{\dot{c}} \dot{c}(t) = 0$, with initial velocity taken in Q (see [1, 9]).

We now reconsider the nonholonomic free particle from the point of view of connections over a vector bundle map. Let $i : Q \rightarrow TM$ denote the natural embedding of Q into TM . In the sequel we will identify $X \in \Gamma(Q)$ with $Ti \circ X$, regarded as a vector field on M . In terms of the notations used above, we consider the following situation: $N = E = Q$, $\nu = \pi = (\tau_M)|_Q$ and $\rho = i$. We may now define a linear connection $\nabla^{\text{nh}} : \Gamma(Q) \times \Gamma(Q) \rightarrow \Gamma(Q)$ over i on the vector bundle $\pi : Q \rightarrow M$ by the prescription

$$\nabla_X^{\text{nh}} Y = \pi_Q \nabla_X^g Y$$

where the superscript ‘nh’ stands for ‘nonholonomic’. It is easily seen that this indeed determines a linear i -connection and that, moreover, $\nabla_X^{\text{nh}} Y = \bar{\nabla}_X Y$ for $X, Y \in \Gamma(Q)$. Admissible curves in this setting are curves \tilde{c} in Q that are prolongations of curves in M , i.e. $\tilde{c}(t) = \dot{c}(t)$ for some curve c in M . Note that for any base curve c , \dot{c} may be regarded here both as an admissible curve in Q and as a section of π defined along c . It follows that the equation of motion of the given nonholonomic problem can be written as $\nabla_{\tilde{c}}^{\text{nh}} \dot{c}(t) = 0$, where c is a curve in M tangent to Q .

The restriction of the given Riemannian metric g on M to sections of Q defines a bundle metric on Q which we denote by g° . The i -connection ∇^{nh} considered above now admits the following characterization.

Proposition 1. ∇^{nh} is uniquely determined by the conditions that it is 'metric', i.e. for all $X, Y, Z \in \Gamma(Q)$ one has

$$X(g^\circ(Y, Z)) = g^\circ(\nabla_X^{\text{nh}}Y, Z) + g^\circ(Y, \nabla_X^{\text{nh}}Z)$$

and that it satisfies

$$\nabla_X^{\text{nh}}Y - \nabla_Y^{\text{nh}}X = \pi_Q[X, Y]$$

for all $X, Y \in \Gamma(Q)$.

Proof. First we prove that ∇^{nh} satisfies both conditions. Using the fact that ∇^s is metric for g , and regarding sections of Q as vector fields on M , we find

$$\begin{aligned} X(g^\circ(Y, Z)) &= X(g(Y, Z)) \\ &= g(\nabla_X^s Y, Z) + g(Y, \nabla_X^s Z) \\ &= g^\circ(\nabla_X^{\text{nh}}Y, Z) + g^\circ(Y, \nabla_X^{\text{nh}}Z) \end{aligned}$$

where the last equality follows from the fact that $g(X, Y) = 0$ whenever $X \in \Gamma(Q)$ and $Y \in \Gamma(Q^\perp)$. The second condition follows from the symmetry property of ∇^s (i.e. ∇^s has zero torsion).

Conversely, let ∇ be an arbitrary linear i -connection that satisfies both conditions. One then easily derives that for any chosen $X, Y \in \Gamma(Q)$ and all $Z \in \Gamma(Q)$

$$\begin{aligned} 2g^\circ(\nabla_X Y, Z) &= X(g(Y, Z)) + Y(g(X, Z)) - Z(g(X, Y)) \\ &\quad + g(\pi_Q[X, Y], Z) - g(\pi_Q[X, Z], Y) - g(X, \pi_Q[Y, Z]) \\ &= 2g(\nabla_X^s Y, Z) \end{aligned}$$

from which one readily deduces that $\nabla_X Y = \pi_Q \nabla_X^s Y$, i.e. $\nabla \equiv \nabla^{\text{nh}}$. \square

It is easily proven that if Q is an integrable distribution defining a foliation of M (i.e. the given constraints are holonomic), then the connection ∇^{nh} induces the Levi-Civita connection on the leaves of this foliation with respect to the induced metric.

From the fact that the nonholonomic connection ∇^{nh} is metric it follows that for any $X, Y \in \Gamma(Q)$

$$X(g^\circ(X, Y)) = g^\circ(\nabla_X^{\text{nh}}X, Y) + g^\circ(X, \nabla_X^{\text{nh}}Y).$$

The second term on the right-hand side can be rewritten as

$$\begin{aligned} g^\circ(X, \nabla_X^{\text{nh}}Y) &= g^\circ(X, \nabla_Y^{\text{nh}}X) + g(X, [X, Y]) \\ &= \frac{1}{2}\mathcal{L}_Y(g(X, X)) + g(X, [X, Y]) \\ &= \frac{1}{2}(\mathcal{L}_Y g)(X, X) \end{aligned}$$

where \mathcal{L} denotes the Lie derivative operator. With any given $Y \in \Gamma(Q)$ one can associate a function J_Y on Q , given by $J_Y(X_m) := g^\circ(X_m, Y(m))$, for all $m \in M$ and $X_m \in Q_m$. Using the preceding identities, and considering a base curve c in M which is 'geodesic' with respect to ∇^{nh} (i.e. a solution of the nonholonomic equations), one easily derives that

$$\frac{d}{dt}(J_Y(\dot{c}))(t) = \frac{1}{2}(\mathcal{L}_Y g)(\dot{c}(t), \dot{c}(t)).$$

This equation implies that every section Y of Q which, regarded as a vector field on M , leaves the metric g invariant (i.e. is a Killing vector field) determines a conserved quantity for the given nonholonomic system.

3. Reduction of the nonholonomic free particle with symmetry

Let G be a Lie group defining a free and proper right action on M , denoted by $R_a : M \rightarrow M, m \mapsto R_a(m) = ma$, for all $a \in G$, such that we have a principal fibre bundle $M \xrightarrow{\mu} \hat{M} := M/G$. Assume this action leaves invariant both the Riemannian metric g and the constraint distribution Q , i.e. $R_a^*g = g$ and $TR_a(Q) \subset Q$ for all $a \in G$. We already know from above that the equations of motion of the nonholonomic free particle are given by the ‘geodesic’ equations: $\nabla_{\dot{c}}^{\text{nh}} \dot{c}(t) = 0$. Using the symmetry assumption (i.e. the G -invariance of g and Q), it is easily proven that if $c(t)$ is a solution, so is $c(t)a$ for all $a \in G$. Therefore, one obtains equivalence classes of solutions, where two solutions c_1 and c_2 are called equivalent iff $c_1 = c_2a$ for some $a \in G$. In the reduction procedure described below, it is our intention to construct a reduced connection over a suitable vector bundle map, such that the corresponding ‘geodesics’ are precisely these equivalence classes.

First of all, we note that the set Q/G , the quotient space of Q under the lifted action of G on Q , admits a vector bundle structure over \hat{M} , with projection $\tau : Q/G \rightarrow \hat{M}$ defined by $\tau([X_m]) = \mu(m)$. Here, $[X_m]$ represents the G -orbit of $X_m \in Q$ under the lifted right action. Using the fact that this action on Q is fibre linear, and relying on the local triviality of the principal bundle $M \rightarrow \hat{M}$, one can verify that τ indeed determines a vector bundle structure (see, for example, [11, p 29]). Next, we define a map $\rho : Q/G \rightarrow T\hat{M}$ according to $\rho([X_m]) := T\mu(X_m)$. Once again one can easily see that this map is well defined (i.e. does not depend on the chosen representative X_m of $[X_m]$) and is fibred over the identity on \hat{M} . We now first construct a principal ρ -connection on M which, subsequently, will be used to define a linear ρ -connection on Q/G .

Let $h : \mu^*(Q/G) \rightarrow TM : (m, [X_m]) \rightarrow X_m$, i.e. we take the image $h(m, [X_m])$ to be the unique tangent vector at m belonging to the equivalence class $[X_m]$. Since the action of G is free, it immediately follows that h is well defined and, moreover, $\text{Im } h = Q$. We can also verify that $h(ma, [X_m]) = TR_a(X_m) = TR_a(h(m, [X_m]))$ and $T\mu(h(m, [X_m])) = \rho([X_m])$. Consequently, h determines a principal ρ -connection on M (see the definition above).

Note that sections of the bundle $\tau : Q/G \rightarrow \hat{M}$ can be put into one-to-one correspondence with the set of right invariant vector fields on M taking values in Q (i.e. the right equivariant sections of $Q \rightarrow M$). Indeed, for $\psi \in \Gamma(Q/G)$ and $m \in M$ such that $\mu(m) \in \text{dom } \psi$, put

$$\psi^h(m) := h(m, \psi(\mu(m))).$$

Then ψ^h is a G -equivariant section of Q . On the other hand, let X be a right invariant vector field on M with values in Q . Then, define an element X_h of $\Gamma(Q/G)$ by

$$X_h(\hat{m}) = [X_m]$$

with $m \in \mu^{-1}(\hat{m})$. Clearly, this does not depend on the choice of m in the fibre over \hat{m} . Thus, by means of h we have established a bijective correspondence between $\Gamma(Q/G)$ and the set of G -equivariant sections of $Q \rightarrow M$. For the following derivation of a reduced ρ -connection on Q/G , we may refer to Cantrijn *et al* [1] where, at least for the so-called Chaplygin case, a similar construction has been made in terms of ‘ordinary’ connections and, therefore, we will not enter into details. For completeness, however, we recall the following useful properties. Firstly, from the G -invariance of g one can deduce that the vector field $\nabla_X^g Y$ is right invariant whenever $X, Y \in \mathcal{X}(M)$ are right invariant, and that $\pi_Q : TM \rightarrow Q$ commutes with TR_a for any $a \in G$. Secondly, the symmetry assumptions also imply that the induced bundle metric g° on Q is G -invariant and, hence, determines a reduced bundle metric \hat{g}° on Q/G . Using h we can construct \hat{g}° as follows: for any $\phi, \psi \in \Gamma(Q/G)$ put

$$\hat{g}^\circ(\hat{m})(\phi(\hat{m}), \psi(\hat{m})) := g^\circ(m)(\phi^h(m), \psi^h(m))$$

with $m \in \mu^{-1}(\hat{m})$. Let $a \in G$, then

$$\begin{aligned} g^{\circ}(ma)(\phi^h(ma), \psi^h(ma)) &= g(ma)(TR_a\phi^h(m), TR_a\psi^h(m)) \\ &= g^{\circ}(m)(\phi^h(m), \psi^h(m)) \end{aligned}$$

where, again, we have relied on the G -invariance of g . From this we may conclude that \hat{g}° is indeed well defined.

Let ∇^{nh} be the nonholonomic connection over i , introduced in the previous section. We now construct a linear ρ -connection on the bundle Q/G , as follows: for any $\psi, \phi \in \Gamma(Q/G)$ put

$$\hat{\nabla}_{\psi}^{\text{nh}}\phi = (\nabla_{\psi^h}^{\text{nh}}\phi^h)_h.$$

Again, one may check that this is well defined and verifies the conditions of a linear ρ -connection.

Proposition 2. *The linear ρ -connection $\hat{\nabla}^{\text{nh}}$ is metric with respect to the reduced bundle metric \hat{g}° on Q/G , and satisfies the property*

$$\hat{\nabla}_{\psi}^{\text{nh}}\phi - \hat{\nabla}_{\phi}^{\text{nh}}\psi - [\psi, \phi] = 0$$

where, by definition, $[\psi, \phi] := (\pi_Q[\psi^h, \phi^h])_h$.

Proof. For any $\psi \in \Gamma(Q/G)$, we have that ψ^h is μ -related to $\rho \circ \psi$ as vector fields on M and \hat{M} , respectively. Using this, together with the properties of ∇^{nh} , we can prove that $\hat{\nabla}^{\text{nh}}$ is metric with respect to \hat{g}° . Indeed, let $\psi, \phi, \eta \in \Gamma(Q/G)$, then

$$\begin{aligned} (\rho \circ \psi)(\hat{g}^{\circ}(\phi, \eta)) \circ \mu &= \psi^h(\hat{g}^{\circ}(\phi, \eta) \circ \mu) \\ &= \psi^h(g^{\circ}(\phi^h, \eta^h)) \\ &= g^{\circ}(\nabla_{\psi^h}^{\text{nh}}\phi^h, \eta^h) + g^{\circ}(\phi^h, \nabla_{\psi^h}^{\text{nh}}\eta^h) \\ &= (\hat{g}^{\circ}(\hat{\nabla}_{\psi}^{\text{nh}}\phi, \eta) + \hat{g}^{\circ}(\phi, \hat{\nabla}_{\psi}^{\text{nh}}\eta)) \circ \mu \end{aligned}$$

from which the result readily follows.

The second property can also be proven in a straightforward manner. \square

It is also not difficult to verify that $\hat{\nabla}^{\text{nh}}$ is uniquely determined by the two properties mentioned in the proposition.

To complete the reduction picture, it can be proven that every solution of the geodesic equation for ∇^{nh} projects onto a solution of the ‘geodesic problem’ for the reduced nonholonomic connection $\hat{\nabla}^{\text{nh}}$ in the following sense. Assume that c is a solution of the nonholonomic equations, i.e. $\nabla_c^{\text{nh}}\dot{c}(t) = 0$. Consider the curve $\hat{c} = \mu \circ c$ in \hat{M} . Then the section $[\hat{c}](t) = [\dot{c}(t)]$ of Q/G along \hat{c} is autoparallel with respect to the ρ -connection $\hat{\nabla}^{\text{nh}}$, i.e. $\hat{\nabla}_{[\hat{c}]}^{\text{nh}}[\hat{c}](t) = 0$. This follows from the fact that for each $m \in M$, $h(m, \cdot) : \tau^{-1}(\mu(m)) \rightarrow T_m M$ is injective and that for any base curve c in M

$$h(c(t), \hat{\nabla}_{[\hat{c}]}^{\text{nh}}[\hat{c}](t)) = \nabla_c^{\text{nh}}\dot{c}(t) \quad \forall t.$$

On the other hand, any solution $[\hat{c}]$ of the equation $\hat{\nabla}_{[\hat{c}]}^{\text{nh}}[\hat{c}](t) = 0$ determines an equivalence class of solutions of the initial nonholonomic problem on M . Given any point c_0 in $\mu^{-1}(\tau([\hat{c}](0)))$, a unique curve c in M can be constructed which is horizontal with respect to the principal ρ -connection h on M , i.e. c satisfies for all t : $\dot{c}(t) = h(c(t), [\hat{c}](t))$ with the initial condition $c(0) = c_0$ (note that $[\dot{c}(t)] = [\hat{c}](t)$). It is easily seen that $\mu(c) = \tau([\hat{c}])$ and from this we can deduce $\nabla_c^{\text{nh}}\dot{c}(t) = 0$.

We conclude that the set of equivalence classes of solutions of the free nonholonomic mechanical problem in M is in a one-to-one correspondence with the set of solutions of

autoparallel admissible curves with respect to the reduced nonholonomic connection (i.e. using the principal ρ -connection h).

To close this section, we note that much of the preceding discussion can be easily extended to more general nonholonomic systems with symmetry, admitting forces derivable from a G -invariant potential energy function.

4. Final remarks

Our approach to the reduction problem of a nonholonomic free particle with symmetry, using the generalized notion of connections over a bundle map, differs from other approaches in that we do not have to make any assumption regarding the (constant) rank of the constraint distribution Q . In treatments of the so-called Chaplygin case, for instance, the assumption is that Q is the horizontal distribution of a principal G -connection (see, for example, [1, 4, 8]), i.e. besides being G -invariant Q also satisfies $TM = Q \oplus \ker T\mu$. In the more general case treated, for example, by Cendra *et al* [3], it is assumed that $TM = Q + \ker T\mu$ (but one may have $Q \cap \ker T\mu \neq \{0\}$). In our treatment we only require G -invariance of Q .

Finally, in a forthcoming paper devoted to the use of the concept of a connection over a vector bundle map in sub-Riemannian geometry, it will be demonstrated that the above application to nonholonomic mechanics may also shed some new light on the relationship between the so-called ‘vakonomic’ and the ‘nonholonomic’ treatment of systems with constraints.

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